



III Semester M.Com. Examination, March/April 2021
(CBCS Scheme)
COMMERCE
Paper – 3.2 FB : Financial Markets

Time : 3 Hours

Max. Marks : 70

SECTION – A

1. Answer **any seven** of the following sub-questions. **Each** sub-question carries **two** marks. (7×2=14)
- What do you mean by book building ?
 - What is Offer For Sale (OFS) ?
 - What do you mean by listing of securities ?
 - Distinguish between ADR and GDR.
 - What is 'Underwriting' ?
 - State the features of OTCEI.
 - State the objectives of NSE.
 - Give the meaning of mark to market.
 - What is insider trading ?
 - How do you differentiate primary and secondary market ?

SECTION – B

Answer **any four** questions. **Each** question carries **five** marks.

(4×5=20)

- What are the functions of primary market ?
- Explain the regulations for insider trading is SEBI.
- Explain the SEBI guidelines for new issue in Primary Market.
- Elucidate the clearing and settlement system in New York stock exchange.
- Explain in detail major players involved in secondary market.
- Write a note on :
 - NASDAQ
 - BSE

P.T.O.



SECTION - C

Answer **any three** of the following questions. **Each** question carries **12** marks.

(3×12=36)

8. Briefly explain the SEBI guidelines for investor protection in the capital market.
9. Examine the recent trends and regulatory developments in the primary markets in India.
10. Explain the listing procedure for a company in National Stock Exchange in India.
11. Discuss the issues guidelines of ADR and GDR.
12. What are the importance and guidelines of a merchant banker in IPO issue ?